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1 **Assessment of sea-ice albedo radiative forcing and feedback**  
2 **over the Northern Hemisphere from 1982 to 2009 using**  
3 **satellite and reanalysis data**

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17 **Keywords:** Arctic, Sea ice, Albedo, Radiative forcing, Trends, Feedback

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19 **Abstract**

20 The decreasing surface albedo caused by continuously retreating sea ice over Arctic plays a  
21 critical role in Arctic warming amplification. However, the quantification of the change in  
22 radiative forcing at top of atmosphere (TOA) introduced by the decreasing sea ice albedo and its  
23 feedback to the climate remain uncertain. In this study, based on satellite-retrieved long-term  
24 surface albedo product CLARA-A1 and radiative kernel method, an estimated  $0.20 \pm 0.05 \text{ W m}^{-2}$   
25 sea ice radiative forcing (SIRF) has decreased in the Northern Hemisphere (NH) owing to the  
26 loss of sea ice from 1982 to 2009, yield a sea-ice albedo feedback (SIAF) of  $0.25 \text{ W m}^{-2} \text{ K}^{-1}$  for  
27 NH and  $0.19 \text{ W m}^{-2} \text{ K}^{-1}$  for the entire globe. These results are lower than the estimate from  
28 another method directly using the Clouds and the Earth's Energy (CERES) broadband planetary  
29 albedo. Further data analysis indicates that kernel method is likely to underestimate the change in  
30 all-sky SIRF because all-sky radiative kernels mask too much of the effect of sea ice albedo on  
31 the variation of cloudy albedo. By applying an adjustment with CERES-based estimate, the  
32 change in all-sky SIRF over NH was corrected to  $0.33 \pm 0.09 \text{ W m}^{-2}$ , corresponding to a SIAF of  
33  $0.43 \text{ W m}^{-2} \text{ K}^{-1}$  for NH and  $0.31 \text{ W m}^{-2} \text{ K}^{-1}$  for the entire globe. We also determine that relative  
34 to satellite surface albedo product, two popular reanalysis products - ERA-Interim and MERRA,  
35 severely underestimate the changes in NH SIRF in melt season (May to August) from 1982 to  
36 2009 and the sea ice albedo feedback to warming climate.

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## 41 **1. Introduction**

42 Sea surface albedo in the Arctic Ocean has declined considerably over the past decades  
43 (Comiso and Hall 2014; Riihelä et al. 2013a) because of retreating sea ice coverage (Comiso et  
44 al. 2008; Kerr 2009; Parkinson and Cavalieri 2012), earlier melt onset (Markus et al. 2009;  
45 Stroeve et al. 2014), and decreasing ice thickness (Kwok and Rothrock 2009; Maslanik et al.  
46 2007), which have forced the Arctic Ocean to absorb increasing amounts of solar radiation  
47 (Perovich et al. 2007b).

48 Sea ice albedo feedback (SIAF) has largely enhanced Arctic warming. Some studies suggest  
49 that SIAF played a central role in the recent Arctic warming amplification (Crook et al. 2011;  
50 Screen and Simmonds 2010; Serreze et al. 2009; Taylor et al. 2013). While other studies argue  
51 that compared to the temperature feedbacks (especially the lapse rate feedback), the contribution  
52 of SIAF to the Arctic warming amplification is not substantial (Pithan and Mauritsen 2014), or  
53 even negligible (Winton 2006). Therefore, a more accurate quantification of the Arctic SIAF is  
54 essential for understanding the physical mechanisms of accelerated sea ice loss and assessing the  
55 underlying evolution of Arctic warming amplification.

56 Most previous surface albedo feedback assessments have been based on model simulations  
57 (Colman 2003; Colman 2013; Dessler 2013; Pithan and Mauritsen 2014; Taylor et al. 2013;  
58 Winton 2006), while estimates through satellite retrievals remain limited. Two recent, typical  
59 studies based on satellite retrievals show large differences from one another. Flanner et al. (2011)  
60 used a synthesis of calculated sea ice albedo, with sea ice type derived from sea ice concentration  
61 and in situ measurements of sea ice albedo, and radiative kernels to estimate the sea ice radiative  
62 forcing (SIRF) in the Northern Hemisphere (NH). They found the change in SIRF from 1979 to  
63 2008 was  $0.22$  ( $0.15 - 0.32$ )  $\text{W m}^{-2}$ , and the corresponding SIAF was  $0.28$  ( $0.19 - 0.41$ )  $\text{W m}^{-2}$

64  $\text{K}^{-1}$  based on surface temperature warming of 0.79 K reported by Goddard Institute of Space  
65 Studies (GISS) during that period. Pistone et al. (2014) estimated Arctic SIRF (although they  
66 didn't use this concept directly) and SIAF from 1979 to 2011 with a combined time series of  
67 planetary albedo: For the period from 2000 to 2011, they used the observed planetary albedo  
68 from Clouds and Earth's Radiant Energy System (CERES) product; For the period from 1979 to  
69 1999, they used a derived planetary albedo from sea ice concentration. They found the change in  
70 SIRF in the NH caused by sea ice loss north of  $60^\circ\text{N}$  was  $0.43 \pm 0.07 \text{ W m}^{-2}$ , which was nearly  
71 twice as large as that estimated by Flanner et al. (2011). The results of these two studies differ  
72 considerably, despite the fact that both studies used the same method to calculate the change in  
73 SIRF (expressed as linear trend multiplied by the time interval) over a similar time period, and  
74 the same GISS surface temperature product.

75 Flanner et al. (2011) also compared their estimated SIAF to a Coupled Model Inter-  
76 comparison Project (CMIP3) multi-model based estimate, and indicated that CMIP3 models  
77 substantially underestimated the change in NH SIRF because of a systematically slower decline  
78 in the simulated sea ice concentration compared to observed rates (Flanner et al. 2011; Stroeve et  
79 al. 2007). However, Dessler (2013) estimated the global surface albedo feedbacks using two  
80 reanalysis products - ECMWF Interim Re-Analysis (ERA-Interim) and Modern Era  
81 Retrospective-Analysis for Research and Applications (MERRA), and compared them to the  
82 estimates from general circulation models (GCMs). He found that the global surface albedo  
83 feedbacks of  $0.28 \pm 0.15 \text{ W m}^{-2} \text{ K}^{-1}$  (ERA-Interim) and  $0.24 \pm 0.15 \text{ W m}^{-2} \text{ K}^{-1}$  (MERRA) are  
84 close to the values of  $0.3 \pm 0.12 \text{ W m}^{-2} \text{ K}^{-1}$  (control runs) and  $0.28 \pm 0.09 \text{ W m}^{-2} \text{ K}^{-1}$  (A1B)  
85 estimated by GCMs. Finally, Dessler (2013) drew a contradictory conclusion to Flanner et al.  
86 (2011), that there is no evidence GCMs underestimate surface albedo feedback.

87 In this study, CLARA-A1, a newly released satellite-retrieved long-term surface albedo  
88 product from the Advanced Very High Resolution Radiometer (AVHRR) (Riihelä et al. 2013b) ,  
89 which is different from the datasets used by Flanner et al. (2011) and Pistone et al. (2014), is  
90 used to estimate the change in NH SIRF and SIAF from 1982 to 2009. But, in order to make it  
91 comparable, the same GISS surface temperature record (Hansen et al. 2010) and the same linear  
92 change calculation method as the two previous studies (Flanner et al. 2011; Pistone et al. 2014)  
93 are applied. The disagreement between the previous studies are analyzed and reconciled by  
94 adjusting change in all-sky SIRF estimated from kernel method. In addition, we compare the  
95 satellite albedo-based estimates to those from ERA-Interim and MERRA reanalysis to evaluate  
96 the performance of reanalysis on the assessment of change in NH SIRF and SIAF.

## 97 **2. Data and Methods**

### 98 **2.1 Data**

99 *Surface Albedo*: Three monthly surface albedo products from 1982 to 2009 are used: CLARA-  
100 A1 satellite retrieved surface albedo product, ERA-Interim and MERRA reanalysis albedo  
101 products. The CLARA-A1 product was developed by the European Organization for the  
102 Exploitation of Meteorological Satellites (CM SAF) project from AVHRR data with a spatial  
103 resolution of  $0.25^\circ \times 0.25^\circ$ . A homogenization pre-processing was taken to remove inter-satellite  
104 calibration differences in the imagery and make the retrievable albedo data set internally  
105 consistent (Riihelä et al. 2013b). The retrieval accuracy for sea ice albedo validated with in situ  
106 measurements is approximately 10–15% (Riihelä et al. 2013a; Riihelä et al. 2013b). There are  
107 some gaps in the original CLARA surface albedo product around the North Pole in the large  
108 solar zenith angle months. Using the seasonal variation from previous studies (Flanner et al.  
109 2011; Pistone et al. 2014) and the value of neighboring month, we filled in the missing pixels,

110 although they did not significantly affect the estimation of SIRF and its changes because of little  
111 incoming solar radiation in these regions and months. The ERA-Interim product is the latest  
112 global atmospheric reanalysis dataset produced by the European Centre for Medium-Range  
113 Weather Forecasts (ECMWF) based on an improvement over the ERA-40 dataset (Dee et al.  
114 2011; Screen and Simmonds 2010). The monthly ERA-Interim sea ice albedo was calculated  
115 with the  $0.25^\circ \times 0.25^\circ$  clear-sky surface downward and upward shortwave fluxes. The monthly  
116  $0.67^\circ \times 0.50^\circ$  MERRA sea ice albedo is produced by NASA's Global Modeling and  
117 Assimilation Office (Rienecker et al. 2011).

118 *Radiative flux:* The CERES Single Satellite Footprint  $1.0^\circ$  (SSF1deg) TOA observed  
119 broadband radiative flux, which is a recommended product for long term climate trend  
120 evaluation by the CERES science team and was also successfully used by Pistone et al. (2014) to  
121 estimate the Arctic SIRF and SIAF, is introduced for comparison with the kernel method of  
122 synthesis of surface albedo and radiative kernels.

123 *Cloud fraction:* The CERES SSF  $1.0^\circ$  cloud fraction product, derived using CERES-MODIS  
124 cloud retrieval algorithm, from 2000 to 2009 and the CLARA-A1  $0.25^\circ$  cloud fraction, derived  
125 from the EUMETSAT Nowcasting Satellite Application Facility NWC SAF cloud-processing  
126 package (Karlsson et al. 2013), from 1982 to 2009 are used in adjusting the change in all-sky  
127 SIRF estimated by kernel method.

128 *Sea ice extent:* The fourth version of the NH EASE-Grid 2.0 Weekly Snow Cover and Sea Ice  
129 Extent product, which was gridded to EASE-Grid from Sea Ice Concentrations and derived from  
130 Nimbus-7 Scanning Multichannel Microwave Radiometer (SMMR) and Defense Meteorological  
131 Satellite Program (DMSP) SSM/I-SSMIS Passive Microwave Data, provided by the National

132 Snow and Ice Data Center (NSIDC), is used to recognize the maximum sea ice coverage from  
133 1982 to 2009.

134 *Surface temperature*: The GISS Gridded Monthly mean  $2^\circ \times 2^\circ$  Combined Land–Surface Air  
135 and Sea–Surface Water Temperature Anomalies (Hansen et al. 2010) (Land–Ocean Temperature  
136 Index, LOTI) is used in the study to calculate the change in surface temperature in NH and the  
137 entire globe from 1982 to 2009.

## 138 **2.2 Methods**

139 Following the radiative kernel method, the time (t) dependent SIRF within a region R (here,  
140 the NH) of area A and the SIAF can be estimated separately in two steps (Flanner et al. 2011;  
141 Qu and Hall 2006):

$$142 \quad SIRF(t, R) = \frac{1}{A(R)} \int_R I(t, r) \frac{\partial \alpha_p}{\partial \alpha_s}(t, r) \alpha_{csi}(t, r) dA(r) \quad (1),$$

$$143 \quad SIAF = \frac{\Delta SIRF}{\Delta T_s} \quad (2).$$

144 As shown in formula (1), the pixel-level SIRF can be calculated in three terms, representing  
145 individual contribution or process:  $I(t, r)$  is the TOA incoming solar radiation,  $\partial \alpha_p / \partial \alpha_s$   
146 represents the change in planetary albedo resulting from a standard perturbation of surface  
147 albedo (usually specified as 1%), and  $\alpha_{csi}$  is the sea ice surface albedo contrast, calculated as the  
148 sea ice surface albedo minus the open ocean water albedo (here, 0.0676). The term  $I(t, r)$   
149 together with  $\partial \alpha_p / \partial \alpha_s$  forms the radiative kernel, which are usually described as the TOA flux  
150 variation with surface albedo change ( $\partial F / \partial \alpha$ ). There is a slight difference in formula (1) from  
151 that in the study by Flanner et al. (2011), the albedo products (CLARA, ERA-Interim, and



152 MERRA) are the ocean surface albedo of both sea ice and open water, so another parameter to  
153 characterize the influence of sea ice cover fraction on SIRF is unnecessary.

154 There are currently three methods to generate the radiative kernels: 1) a physically based  
155 regression model that expresses planetary albedo as a function of different contributions, such as  
156 surface albedo, cloud cover, and cloud optical thickness (Qu and Hall 2006); 2) an analytical  
157 model that expresses planetary albedo as the sum of the contributions of surface and atmosphere,  
158 each with an analytical function (Donohoe and Battisti 2011); and 3) a simulated method using  
159 an offline radiative transfer code to calculate the change in planetary albedo associated with a  
160 unit (1%) perturbation of surface albedo based on climate models (Shell et al. 2008; Soden et al.  
161 2008). It suggested that the simulated method is likely more accurate than the other two methods  
162 (Qu and Hall 2013). Two widely used monthly radiative kernels are applied, one generated with  
163 the Geophysical Fluid Dynamics Laboratory Atmosphere Model 2 (GFDL AM2), and the other  
164 with the National Center for Atmosphere Research Community Atmosphere Model version 3  
165 (NCAR CAM3) (Shell et al. 2008; Soden et al. 2008), to estimate the SIRF separately, and are  
166 averaged to obtain mean SIRF.

167 The TOA shortwave radiative forcing and its changes from 2000 to 2009 are also calculated  
168 with CERES SSF planetary albedo and insolation, following the method applied by Pistone et al.  
169 (2014). The result is compared with those from the kernel method and used for adjusting the all-  
170 sky estimate.

171 Based on formula (2), SIAF can be calculated as the change in SIRF ( $\Delta SIRF$ ) divided by the  
172 change in surface temperature ( $\Delta T_s$ ). Both  $\Delta SIRF$  and  $\Delta T_s$  are calculated as the linear trends  
173 multiplied by the time intervals, the uncertainty of the changes are given as the 95% confidence  
174 intervals of the fit multiplied by the time intervals. Owing to the onset of polar night, we

175 consider only the months March through September for albedo, and calculate the annual mean  
176 (January to December) surface temperature over the globe and the NH.

177 All variables and the radiative kernels are re-gridded to a spatial resolution of  $0.25^\circ \times 0.25^\circ$  in  
178 the first step to estimate the SIRF on pixel-level. Then, the SIRF and cloud fraction maps are re-  
179 projected to equal area projection, and the maximum sea ice coverage area from 1982 to 2009 in  
180 the NH recognized by the EASE-Grid 2.0 Weekly Sea Ice Extent product are applied as a mask  
181 to statistically measure the regional average of all of the variables.

### 182 **3. Results**

#### 183 **3.1 Radiative Forcing of Sea Ice Albedo**

184 Based on the surface albedo contrast, radiative kernels and sea ice extension mask, we  
185 calculate the multi-year averaged clear-sky and all-sky NH SIRFs with three albedo products  
186 (Table 1). All values in Table 1 are calculated as the average of SIRFs estimated using two  
187 radiative kernels. Uncertainties are the 95% confidence intervals of the multi-year averaged  
188 annual SIRFs, Min and Max are the minimum and maximum values of the SIRF time series.

189 As seen in Table 1, the all-sky SIRF in NH averaged over 1982–2009 calculated with CLARA  
190 is  $-1.65 \pm 0.14 \text{ W m}^{-2}$ , which is very similar to that of ERA-Interim,  $-1.71 \pm 0.08 \text{ W m}^{-2}$ , and  
191 both are larger than that of MERRA,  $-1.40 \pm 0.07 \text{ W m}^{-2}$ . The satellite observed SIRF here from  
192 CLARA is slightly larger than that given by Flanner et al. (2011) (similar to the upper  
193 bound),  $-1.34$  ( $-0.92$  to  $-1.70$  in their Table 1)  $\text{W m}^{-2}$ . Even for estimates using the same radiative  
194 kernels, the all-sky SIRF given by Flanner et al. (2011) is still smaller, about  $-1.40$  ( $-1.13$  to  $1.63$ )  
195  $\text{W m}^{-2}$ . The difference can be partly attributed to the parameterized sea ice albedo calculation  
196 method, which may underestimate the North Pole-centered sea-ice albedo in melt season  
197 (Flanner et al. 2011; Perovich et al. 2007a). Additionally, a small portion of the difference could

198 be due to the different time periods used for analysis in these studies. The clear-sky SIRFs are  
199 nearly twice that of the all-sky SIRFs for all three products, indicating that the cooling effect of  
200 sea ice albedo on the Arctic climate is weakened by cloud overspread.

201 The seasonal cycles of SIRF for all three products shown in Fig. 1 indicates that both all-sky  
202 and clear-sky SIRF occur mainly in spring and early summer, particularly March to June, and  
203 peak in May as a result of the large magnitude of pre-melt sea ice albedo and relatively higher  
204 insolation. Both satellite-retrieved and reanalysis data can capture the seasonal variation of SIRF,  
205 which are very similar to the estimate by Flanner et al. (2011). However, the SIRF estimated  
206 with MERRA is smaller than other two products from March to June because of its relatively  
207 lower sea ice albedo in this period, which results in the lower multi-year averaged SIRF. The  
208 seasonal variation of SIRF also indicates that it is reasonable to use the months from March to  
209 September to represent the entire year (Pistone et al. 2014). Based on data analysis, insolation  
210 from October through February contributes little (about 4% in total) to the annual averaged  
211 incoming radiative flux in the Arctic region.

212 The spatial distribution of NH SIRF averaged from 1982 to 2009 with CLARA and two other  
213 reanalysis datasets are shown in Fig. 2. It demonstrate the annual-mean NH SIRF occurs mainly  
214 in the region north of 70°N, which may be due to the longer annual coverage time of ice cover  
215 over this area. Therefore, studies focusing on the SIRF and its changes over the region of 60°N–  
216 90°N can represent the entire NH to a great extent and are comparable to studies focusing only  
217 on 70°N–90°N. As shown in Fig. 2, the annual-mean SIRF at TOA in the Arctic Ocean can be  
218 larger than 40 W m<sup>-2</sup> for all-sky and 60 W m<sup>-2</sup> for clear-sky. Overall, the magnitude of SIRF  
219 estimated with CLARA surface albedo is very similar to that of ERA-Interim, and both are larger  
220 than that of MERRA.

## 221 3.2 Changes in Sea Ice Albedo Radiative Forcing ( $\Delta$ SIRF)

222 The time series of annual-mean (March to September) spatial-mean SIRFs together with the  
223 statistical linear changes of SIRFs are shown in Fig. 3, all SIRFs have been averaged over the  
224 entire NH. The  $\Delta$ SIRFs from 1982 to 2009 are calculated as the linear trend of the SIRF time  
225 series multiplied by the time intervals. Uncertainties of the changes are calculated as the 95%  
226 confidence intervals of the trend multiplied by the time intervals. The all-sky  $\Delta$ SIRF over the NH  
227 with the CLARA albedo product is  $0.20 \pm 0.05 \text{ W m}^{-2}$ , which is equivalent to an energy increase  
228 of  $0.10 \pm 0.03 \text{ W m}^{-2}$  over the entire globe. The clear-sky NH  $\Delta$ SIRF with CLARA albedo is  
229  $0.46 \pm 0.12 \text{ W m}^{-2}$ . Both all-sky and clear-sky NH  $\Delta$ SIRFs estimated with satellite retrievals are  
230 larger (nearly two times) than those of the other two reanalysis products, imply that although  
231 satellite retrieved product is not perfect, it's able to capture the change in surface albedo caused  
232 sea ice loss. In light of these results and given the conclusions of the previous studies (Dessler  
233 2013; Flanner et al. 2011), we can conclude that both reanalysis datasets and CMIP3 GCMs  
234 underestimate the NH  $\Delta$ SIRF for the last three decades.

235 The estimated NH  $\Delta$ SIRF of  $0.20 \pm 0.05 \text{ W m}^{-2}$  with CLARA albedo is very close to the value  
236 of  $0.22 (0.15 - 0.32) \text{ W m}^{-2}$  averaged over 12 all-sky kernel estimates presented by Flanner et al.  
237 (2011). Considering the shorter time period (28 years) in this study compared to the previous  
238 study (30 years) and the method to calculate  $\Delta$ SIRF (dependent on time intervals), nearly the  
239 same estimate is obtained here as Flanner et al. (2011). However, both estimates are lower than  
240 that by Pistone et al. (2014), who estimated the  $\Delta$ SIRF from 1979 to 2011 to be  $0.43 \pm 0.07 \text{ W}$   
241  $\text{m}^{-2}$  with TOA observationally based planetary albedo product.

242 Fig. 4 shows the monthly NH  $\Delta$ SIRF from 1982 to 2009, which indicates that the change in  
243 NH SIRF occurs primarily in the melt season (May to August), when both the TOA insolation

244 and the change in surface albedo are relatively larger, and peaks in June for both clear-sky and  
245 all-sky conditions with the largest  $\Delta\text{SIRF}$  values as  $1.36 \pm 0.50 \text{ W m}^{-2}$  and  $0.64 \pm 0.25 \text{ W m}^{-2}$   
246 respectively. The seasonal variation of  $\Delta\text{SIRF}$  appears similar to the results of Flanner et al.  
247 (2011). The large relative uncertainty of all-sky  $\Delta\text{SIRF}$  in July is high likely caused by the high  
248 cloud fraction during this period (Karlsson and Svensson 2013). It also shows both ERA-Interim  
249 and MERRA significantly underestimate the change in SIRF in the melt season, and  
250 consequently the annual averaged  $\Delta\text{SIRF}$ .

251 The spatial distribution of NH  $\Delta\text{SIRF}$  shown in Fig. 5 indicates that the change in sea ice  
252 surface albedo during the last three decades occurred mainly from  $70^{\circ}\text{N}$ – $80^{\circ}\text{N}$  in the Arctic  
253 Ocean. Three hot spots- Baffin Bay, North Barents-Kara Sea, and Chukchi Sea, play leading  
254 roles in the process of changing SIRF. Both ERA-Interim and MERRA can replicate the main  
255 spatial patterns of the  $\Delta\text{SIRF}$ , but are numerically lower than the estimates with the CLARA  
256 surface albedo product. It should be noted that even though both reanalysis products  
257 underestimate the change in SIRF, the reasons are likely different. For ERA-interim, the negative  
258 change in SIRF in the polar region (north of  $83^{\circ}\text{N}$ ) and east of Greenland may bring down the  
259 total  $\Delta\text{SIRF}$ . While for MERRA, the nearly zero change in SIRF in the polar region (north of  
260  $80^{\circ}\text{N}$ ) combined with the lower magnitudes in the margin ( $70^{\circ}\text{N}$ – $80^{\circ}\text{N}$ ) region may result in the  
261 smaller total  $\Delta\text{SIRF}$ . More accurate data assimilation in the polar region (north of  $80^{\circ}\text{N}$ ) would  
262 greatly improve the quality of reanalysis.

### 263 **3.3 Sea Ice Albedo Feedback (SIAF)**

264 The changes of annual-mean (January to December) surface air temperature ( $\Delta T_s$ ) from 1982  
265 to 2009 estimated with the GISS surface temperature product are  $0.79 \pm 0.16 \text{ K}$  over the  
266 Northern Hemisphere and  $0.54 \pm 0.12 \text{ K}$  over the entire globe (Fig. 6). By combining  $\Delta\text{SIRF}$  and

267  $\Delta T_s$ , the feedback parameter associated with the change in NH sea ice albedo (NH SIAF) can be  
268 calculated with formula (2). Table 2 shows the SIAF and the calculated range (low and high  
269 bound) for each product (e.g., for the low bound, divide minimum  $\Delta S_{IRF}$  by maximum  $\Delta T_s$  and  
270 vice versa for the high bound).

271 As shown in Table 2, the reanalysis-based estimates of global SIAF are 0.09 (0.05–0.17)  $W$   
272  $m^{-1} K^{-1}$  for ERA-Interim and 0.07 (0.04–0.13)  $W m^{-2} K^{-1}$  for MERRA, which are close to the  
273 model-based estimations of  $0.10 \pm 0.03 W m^{-2} K^{-1}$  from CMIP3 models and  $0.11 \pm 0.04 W m^{-2}$   
274  $K^{-1}$  from CMIP5 models reported in previous studies (Winton 2006; Pistone et al. 2014) within  
275 the range of uncertainties. This is consistent with Dessler’s (2013) findings. However, both  
276 ERA-Interim and MERRA underestimate nearly half of the NH SIAF to the global climate  
277 compared to the CLARA satellite-based estimate of 0.19 (0.11–0.30)  $W m^{-2} K^{-1}$ . The SIAF for  
278 NH is 0.25 (0.16–0.40)  $W m^{-2} K^{-1}$ , which is consistent with the Flanner et al. (2011) estimate of  
279 0.28 (0.19 – 0.41)  $W m^{-2} K^{-1}$ .

### 280 **3.4 Adjustment of satellite based all-sky $\Delta S_{IRF}$ and SIAF**

281 As indicated above, the estimates of  $\Delta S_{IRF}$  with CLARA satellite-retrieved long-term time  
282 series surface albedo is nearly the same as that of Flanner et al. (2011). However, both all-sky  
283 estimates are only half of a recent estimate by Pistone et al. (2014), who used a derived planetary  
284 albedo directly. In order to determine the underlying causes of the difference between our  
285 estimate and that given by Pistone et al. (2014), the S<sub>IRF</sub> values estimated with kernel method  
286 and the TOA planetary albedo from CERES SSF product during the overlapping period from  
287 2000 to 2009 were analyzed. The data processing for CERES SSF product is based on the same  
288 spatial domain (the maximum sea ice coverage area from 1982 to 2009 in the NH), and the same

289 temporal domain (the months from March to September). The regional average statistics are also  
290 based on equal area map projection.

291 As shown in Fig. 7, the clear-sky  $\Delta$ SIRF from 2000 to 2009 calculated using radiative kernels  
292 with CLARA surface albedo is  $4.13 \pm 1.84 \text{ W m}^{-2}$ , slightly lower than CERES SSF observed  
293 change in clear-sky TOA reflected shortwave flux of  $4.56 \pm 1.75 \text{ W m}^{-2}$ , which may be due to a  
294 small decrease in planetary albedo from increasing water vapor in the Arctic atmosphere  
295 (Dessler et al. 2013; Serreze et al. 2012). Pistone et al. (2014) also acknowledge that their  
296 estimate is an upper bound because of the decrease of the albedo caused by other factors. This  
297 implies that the clear-sky  $\Delta$ SIRF estimated using the radiative kernels with the satellite albedo is  
298 more reliable for it has excluded the influences from other climate variables on the change in  
299 clear-sky planetary albedo. However, the all-sky  $\Delta$ SIRF of  $1.81 \pm 0.92 \text{ W m}^{-2}$  calculated using  
300 the radiative kernels is much lower than the change in all-sky TOA reflected shortwave flux  
301 ( $2.85 \pm 0.99 \text{ W m}^{-2}$ ) from CERES SSF products, indicating that the difference between the two  
302 methods may lie in the interaction of sea ice albedo and cloud radiation (the response of cloud  
303 albedo to the variation of surface sea ice albedo). It has been found that changes in surface sea  
304 ice albedo can result in a change in cloud albedo (and thus cloud radiative forcing), even if the  
305 cloud properties do not change (Shell et al. 2008). Inspired by this finding, we attempt to  
306 determine the causes to the variation of cloudy-sky albedo in Arctic Ocean.

307 Following the previous study (Pistone et al. 2014), all-sky planetary albedo is related to  
308 cloudy-sky planetary albedo, clear-sky planetary albedo and cloud fraction ( $f_c$ ), as shown in  
309 formula (3). In order to estimate the changes of radiative flux, all albedo parameters have been  
310 converted to upward shortwave radiative flux (i.e., clear-sky upward SW ( $SW_{CS}$ ), cloudy-sky

311 upward SW ( $SW_{clid}$ ), and all-sky upward shortwave flux ( $SW_{as}$ ) by multiplying the planetary  
312 albedo by a TOA climatology insolation.

$$313 \quad SW_{as} = SW_{cs} (1 - f_c) + SW_{clid} f_c . \quad (3)$$

314 If all other terms are known, the TOA  $SW_{clid}$  can be calculated with the above equation.  
315 Figure 7 (c) shows the calculated  $SW_{clid}$  based on the CERES SSF clear-sky, all-sky planetary  
316 albedo, TOA solar insolation flux, and the cloud fraction from the CERES–MODIS product. The  
317 figure indicates that the cloud reflected shortwave radiative flux decreases significantly. It's clear  
318 that most changes in clear-sky planetary albedo are due to the variation of sea ice (and thus sea  
319 ice albedo) (Koenigk et al. 2014; Pistone et al. 2014). Therefore, the high correlation between  
320 cloudy-sky and clear-sky upward shortwave radiative flux shown in Fig. 7 (d) implies that the  
321 decrease of cloudy-sky planetary albedo over the Arctic Ocean is very likely to have arisen from  
322 the change in surface sea ice albedo as well, and is unfortunately underestimated by all-sky  
323 radiative kernels. The current CAM radiative kernel generated from the CAM5 model masks  
324 much less of the sea ice albedo effect on the TOA shortwave radiative flux which may offer a  
325 more realistic estimate of Arctic SIRF and its change (Perket et al. 2014). We also analyze the  
326 relationship between cloudy-sky planetary albedo and cloud optical thickness, find no significant  
327 correlation between them. Based on the analysis above, we adjust the underestimated all-sky  
328  $\Delta$ SIRF by the kernels method.

329 Cloud coverage can significantly influence the variation of all-sky planetary albedo. In order  
330 to remove the effect of cloud fraction on the variation of  $SW_{as}$ , we fix the cloud fraction in the  
331 first year (2000) and then recalculate  $SW_{as}$  with formula (3) to get an adjusted all-sky upward  
332 shortwave radiative flux ( $SW_{as}$ , as shown in Fig. 7(c)). The year 2000 is chosen for fixing the



333 cloud fraction because cloud fraction in this year is 72.2%, nearly the same as the multi-year  
 334 average, 72.6%, a different cloud fraction would introduce a small uncertainty (about 1%) to the  
 335 correction factor in formula (4). Afterwards, the change in adjusted all-sky upward SW ( $\Delta SW_{aas}$ )  
 336 is  $3.30 \pm 1.32 \text{ W m}^{-2}$ . Based on the radiative kernel method, a time-invariant cloud fraction  
 337 results in a time-invariant ratio of all-sky  $\Delta SIRF$  to clear-sky  $\Delta SIRF$ , which means the ratio of  
 338  $\Delta SW_{aas}$  to  $\Delta SW_{cs}$  during 2000 to 2009 can be used to correct the underestimated all-sky  $\Delta SIRF$   
 339 from kernel method with formula (4).

$$340 \quad \Delta SIRF_{aas} = \Delta SIRF_{cs} \frac{\Delta SW_{aas}}{\Delta SW_{cs}} \quad (4)$$

341 Here,  $\Delta SIRF_{cs}$  is the clear-sky  $\Delta SIRF$  estimated with the radiative kernels,  $\Delta SW_{aas}$  is the  
 342 change in adjusted all-sky upward shortwave radiative flux,  $\Delta SW_{cs}$  is the change in clear-sky  
 343 upward shortwave radiative flux estimated with CERES SSF products, the ratio of  $\Delta SW_{aas}$  to  
 344  $\Delta SW_{cs}$  is the correction factor, and  $\Delta SIRF_{aas}$  is the corrected all-sky  $\Delta SIRF$  estimated with  
 345 kernels. After correction, the all-sky  $\Delta SIRF$  from 2000 to 2009 is  $2.99 \text{ W m}^{-2}$ . The annual  
 346 averaged (March to September) cloud fraction over Arctic Ocean from CLARA product shows  
 347 no significant change over 1982 to 2009, and the multi-year mean value is about 72.5% (Fig. 8),  
 348 which is the same as multi-year averaged cloud fraction of 72.6% estimated with the CERES  
 349 SSF cloud fraction product (Fig. 7 (c)) from 2000 to 2009. This means the all-sky  $\Delta SIRF$  from  
 350 1982 to 2009 can also be corrected directly with formula (4) and the correction factor generated  
 351 from CERES SSF TOA product during 2000 to 2009. The kernel-based all-sky NH  $\Delta SIRF$  can be  
 352 corrected from  $0.20 \pm 0.05 \text{ W m}^{-2}$  to  $0.33 \pm 0.09 \text{ W m}^{-2}$ , which is larger than the lower bound  
 353 estimate from Flanner et al. (2011), and smaller than the upper bound estimate from Pistone et al.

354 (2014). Correspondingly, the all-sky SIAF can be adjusted to  $0.42 \text{ W m}^{-2} \text{ K}^{-1}$  for the NH and  $0.31$   
355  $\text{W m}^{-2} \text{ K}^{-1}$  for the entire globe.

#### 356 **4. Discussion**

357 In this study, only the months March through September are considered for the estimation of  
358 annual averaged radiative forcing, because the insolation during October through February  
359 contributes very little (about 4%, which would lead to a small underestimation for the annual-  
360 mean SIAF) to the annual radiative flux in the Arctic region. For the calculated changes in SIAFs  
361 during last three decades, the influence from these months is even negligible, because the  
362 decrease of sea ice (and thus albedo) happens primarily in the melt season.

363 When we try to determine if the change in all-sky SIAF estimated with kernel method is  
364 underestimated or not, the key issue is which climate variable caused the variation of cloudy-sky  
365 planetary albedo. Through data analysis with CERES SSF TOA products, we find, the variations  
366 (more than 90%) of cloudy-sky planetary albedo over Arctic Ocean are mainly caused by sea ice  
367 loss (and thus decreasing sea ice albedo), while cloud optical thickness has little effect on the  
368 change in cloudy-sky albedo. Based on this important finding, we adjusted the change in SIAF  
369 estimated using the kernel methods with surface albedo and obtain a more realistic estimate  
370 between the lower bound from Flanner et al. (2011) and the upper bound from Pistone et al.  
371 (2014). Considering the underestimation of change in all-sky SIAF by kernel method, a more  
372 accurate simulation on the response of cloudy-sky planetary albedo to the variation of surface  
373 albedo would greatly help improve the simulation of energy budget and the future sea ice loss in  
374 Arctic.

#### 375 **5. Conclusions**

376 The role of SIAF in Arctic warming amplification continues to be a debated issue (Graversen  
377 et al. 2014; Kumar et al. 2010; Pithan and Mauritsen 2014; Screen and Simmonds 2010). An  
378 improved quantification of the SIAF is critical for better understanding of the physical  
379 mechanisms of the accelerated Arctic sea ice loss and assessing the underlying future evolution  
380 of the Arctic warming amplification. Most previous SIAF assessments were based on model  
381 simulations (Colman 2003; Colman 2013; Dessler 2013; Pithan and Mauritsen 2014; Taylor et al.  
382 2013; Winton 2006). Two recent studies used synthesized satellite-observed long-term products,  
383 reached significantly different results (Flanner et al. 2011; Pistone et al. 2014). To take  
384 advantage of both satellite observation and model simulations, an approach was proposed to  
385 estimate the change in SIAF and SIAF to the climate over NH and the entire globe from 1982 to  
386 2009.

387 Based on the assessment using kernel method with CLARA surface albedo product, the NH all  
388 -sky SIAF is estimated as  $-1.65 \pm 0.14 \text{ W m}^{-2}$ , and the linear change of all-sky SIAF indicates  
389 that  $0.20 \pm 0.05 \text{ W m}^{-2}$  shortwave radiative flux was absorbed by the NH Earth owing to the loss  
390 of sea ice within the 28-year period, yield an SIAF of  $0.25 \text{ W m}^{-2} \text{ K}^{-1}$  for NH and  $0.19 \text{ W m}^{-2} \text{ K}^{-1}$   
391 for the entire globe. This result is the same as the estimate from Flanner et al. (2011) who also  
392 used the kernel method, but both are smaller than that reported by Pistone et al. (2014) who  
393 estimated the change in SIAF directly using planetary albedo. In order to reconcile the difference  
394 between two methods, further data analysis is taken and indicates that although kernel method  
395 can separate the forcing of different climate variables, it's likely to underestimate the change in  
396 all-sky SIAF because of the poor representation of sea-ice albedo and cloud-radiation  
397 interactions (too much of the surface albedo effect of sea ice is masked by all sky radiative  
398 kernels). After correction, the change in all-sky SIAF can be adjusted to  $0.33 \pm 0.09 \text{ W m}^{-2}$ , yield

399 an adjusted SIAF of  $0.43 \text{ W m}^{-2} \text{ K}^{-1}$  for the NH and  $0.31 \text{ W m}^{-2} \text{ K}^{-1}$  for the entire globe. Three  
400 regions: Baffin Bay, North Barents-Kara Sea, and Chukchi Sea, played leading roles in decrease  
401 of NH SIRF during the period.

402 This study also determined both ERA-Interim and MERRA reanalysis products substantially  
403 underestimate the change in SIRF due to the poor replication of the change in sea ice albedo in  
404 melt season (May to August) and the polar region (north of  $80^{\circ}\text{N}$ ). Considering the reports of  
405 previous studies (Dessler 2013; Flanner et al. 2011), we conclude that both reanalysis data and  
406 GCMs (CMIP3 and CMIP5) underestimate the change in SIRF over the last three decades. To  
407 achieve a realistic estimate of sea ice variation, more accurate data simulation and assimilation in  
408 melt season and the polar region is needed for reanalysis products.

409

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415 product from the NASA's Global Modeling and Assimilation Office, the SSF1deg radiative and  
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535 **Tables**

536 Table 1: Northern Hemisphere (NH) sea ice radiative forcing (SIRF), in  $\text{W m}^{-2}$ , averaged over  
 537 1982–2009 and two kernels’ estimates for all three albedo products (CLARA, ERA-Interim, and  
 538 MERRA). Uncertainties are the 95% confidence intervals of the multi-year averaged SIRFs, Min  
 539 and Max are the minimum and maximum values of the SIRF time series.

	<b>All-Sky</b>				<b>Clear-Sky</b>			
	Mean	Uncertainty	Min	Max	Mean	Uncertainty	Min	Max
<b>CLARA</b>	-1.65	0.14	-1.78	-1.49	-2.95	0.32	-3.26	-2.58
<b>ERA-I</b>	-1.71	0.08	-1.78	-1.63	-3.08	0.17	-3.24	-2.91
<b>MERRA</b>	-1.4	0.07	-1.46	-1.33	-2.62	0.15	-2.77	-2.43

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545 Table 2: Northern Hemisphere (NH) and global sea ice albedo feedback (SIAF), in  $\text{W m}^{-2} \text{K}^{-1}$ .

546 The ranges (Low and High) indicate the extreme minimum/maximum combinations of  $\Delta\text{SIRF}$   
 547 and  $\Delta T_s$  considering the uncertainties of both  $\Delta\text{SIRF}$  and  $\Delta T_s$ .

	<b>NH</b>			<b>Global</b>		
	SIAF	Low	High	SIAF	Low	High
<b>CLARA</b>	0.25	0.16	0.40	0.19	0.11	0.30
<b>ERA-I</b>	0.13	0.06	0.22	0.09	0.05	0.17
<b>MERRA</b>	0.10	0.05	0.17	0.07	0.04	0.13

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550 **Figure captions**

551 Fig. 1 Seasonal cycles (March to September) of the Northern Hemisphere (NH) sea ice radiative  
552 forcing (SIRF) averaged over 1982–2009 and two radiative kernels for all three products for (a)  
553 all-sky and (b) clear-sky. The whiskers depict the 95% confidence intervals of the multi-year  
554 averaged SIRFs.

555 Fig. 2 Northern Hemisphere (NH) sea ice radiative forcing (SIRF) averaged over 1982–2009 for  
556 (a) CLARA all-sky, (b) CLARA clear-sky, (c) ERA-Interim all-sky, (d) ERA-Interim clear-sky,  
557 (e) MERRA all-sky, (f) MERRA clear-sky. SIRFs here are the mean value of estimates with two  
558 radiative kernels.

559 Fig. 3 Northern Hemisphere (NH) sea ice radiative forcing (SIRF) averaged over two radiative  
560 kernels and the estimated changes of SIRFs ( $\Delta$ SIRF) from 1982 to 2009 ( $\text{W m}^{-2}$ ) for (a) all-sky  
561 and (b) clear-sky. The 95% confidence intervals of the  $\Delta$ SIRF were also given. All linear  
562 changes have passed the 0.01 significance test.

563 Fig. 4 Seasonal cycles of Northern Hemisphere (NH) changes in sea ice radiative forcing ( $\Delta$ SIRF)  
564 based on the average of two radiative kernels from 1982 to 2009 for (a) all-sky and (b) clear-sky.  
565 The whiskers depict the 95% confidence intervals of monthly changes of SIRFs. All of the  
566 changes, except value from ERA-Interim in July (0.1), have passed the 0.05 significance test.

567 Fig. 5 Changes in sea ice radiative forcing ( $\Delta$ SIRF) from 1982 to 2009 based on two radiative  
568 kernels for (a) CLARA all-sky, (b) CLARA clear-sky, (c) ERA-Interim all-sky, (d) ERA-Interim  
569 clear-sky, (e) MERRA all-sky, (f) MERRA clear-sky.

570 Fig. 6 Annual averaged (January - December) Goddard Institute of Space Studies (GISS) surface  
571 temperature anomaly over the Northern Hemisphere (NH, Black) and the entire globe (GL, Blue)  
572 from 1982 to 2009. GISS surface temperature anomaly is calculated based on 1951-1980

573 climatology. The linear changes in surface temperature from 1982 to 2009 and the 95%  
574 confidence interval of the changes are given.

575 Fig. 7 Annual averaged (a) CLARA sea ice radiative forcing (SIRF); (b) Single Satellite  
576 Footprint (SSF) top of atmosphere (TOA) upward shortwave (SW) flux; and (c) calculated SSF  
577 cloudy-sky SW, adjusted all-sky SW flux, and cloud fraction. Figure 7 (d) is the scatter plot of  
578 cloudy-sky upward SW flux and clear-sky upward flux. In subplot (a), (b) and (c), the linear  
579 changes of each variable over 2000 to 2009 and the 95% confidence interval of the changes are  
580 given. For SSF cloud fraction, the multi-year average and the 95% confidence intervals of the  
581 mean value are also given.

582 Fig. 8 Annual averaged (March - September) CLARA cloud fraction (CFC) over the Northern  
583 Hemisphere (NH) sea ice covered region from 1982 to 2009. The linear change in the cloud  
584 fraction time series ( $\Delta$ CFC) from 1982 to 2009 and the 95% confidence intervals of the change  
585 are given. The multi-year average of CFC and the 95% confidence intervals of the mean value  
586 are also given.

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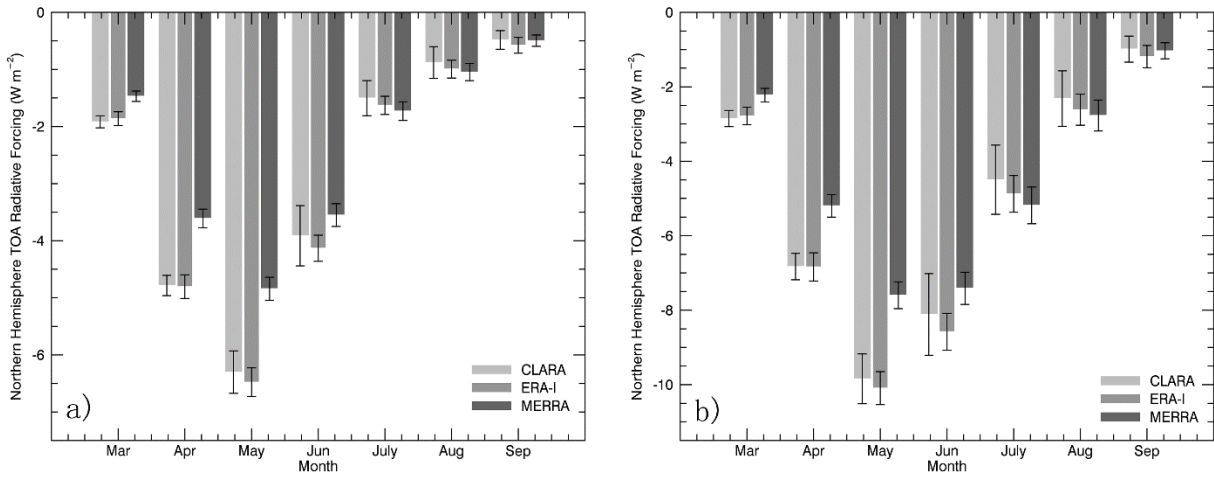
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595 **Figures**



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597 Fig. 1 Seasonal cycles (March to September) of the Northern Hemisphere (NH) sea ice radiative  
598 forcing (SIRF) averaged over 1982–2009 and two radiative kernels for all three products for (a)  
599 all-sky and (b) clear-sky. The whiskers depict the 95% confidence intervals of the multi-year  
600 averaged SIRFs.

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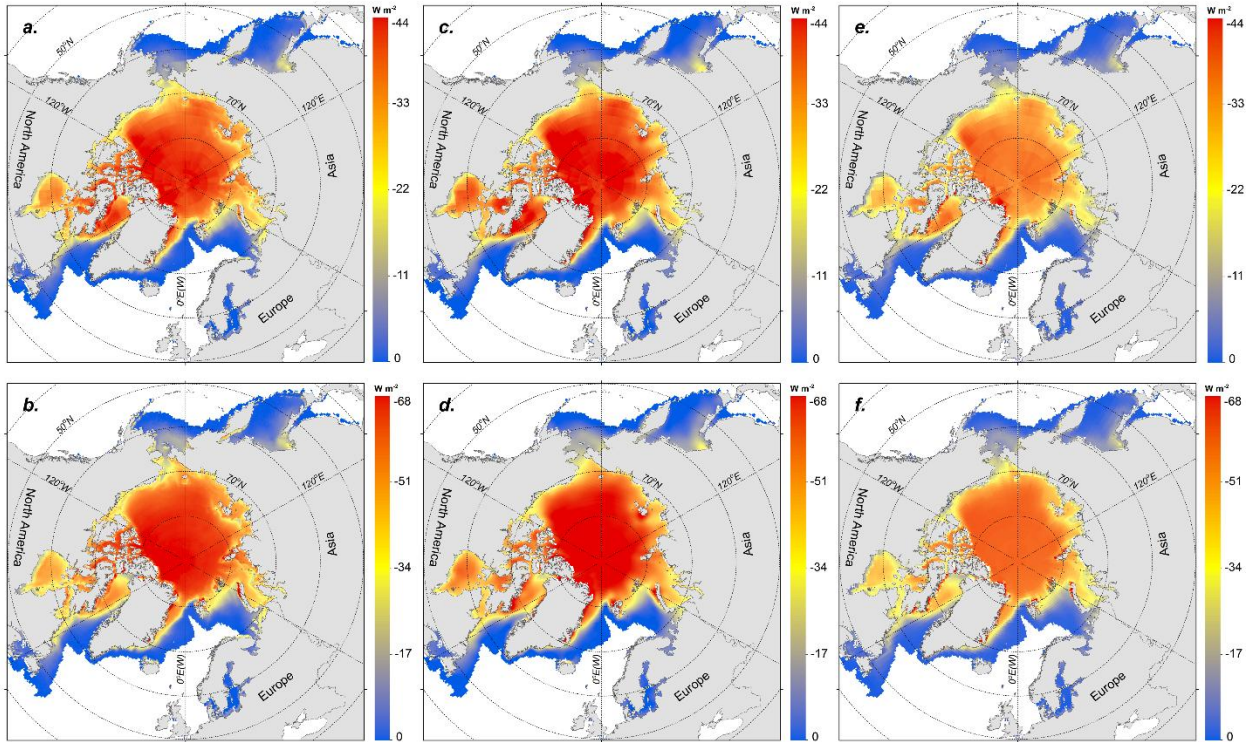
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612 Fig. 2 Northern Hemisphere (NH) sea ice radiative forcing (SIRF) averaged over 1982–2009 for

613 (a) CLARA all-sky, (b) CLARA clear-sky, (c) ERA-Interim all-sky, (d) ERA-Interim clear-sky,

614 (e) MERRA all-sky, (f) MERRA clear-sky. SIRFs here are the mean value of estimates with two

615 radiative kernels.

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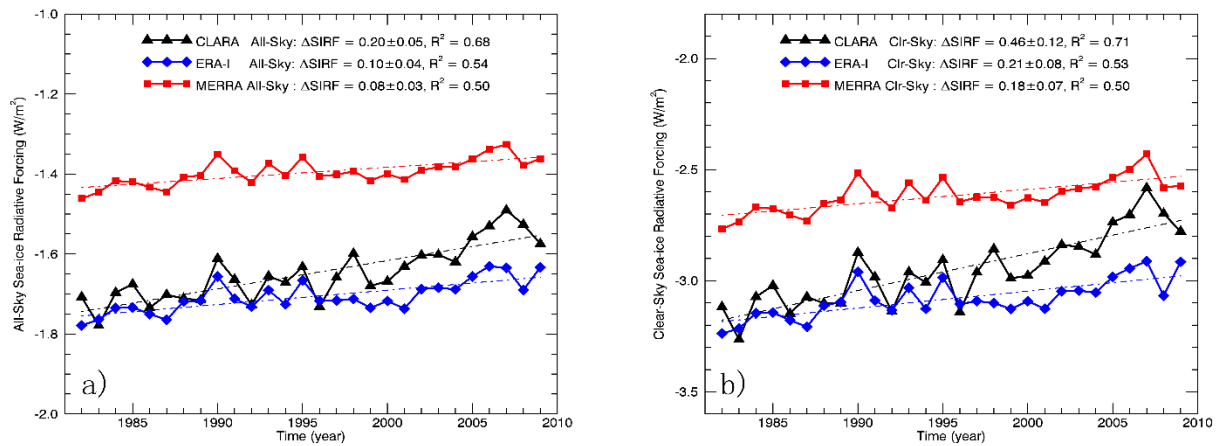
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624 Fig. 3 Northern Hemisphere (NH) sea ice radiative forcing (SIRF) averaged over two radiative  
 625 kernels and the estimated changes of SIRFs ( $\Delta$ SIRF) from 1982 to 2009 ( $\text{W m}^{-2}$ ) for (a) all-sky  
 626 and (b) clear-sky. The 95% confidence intervals of the  $\Delta$ SIRF were also given. All linear  
 627 changes have passed the 0.01 significance test.

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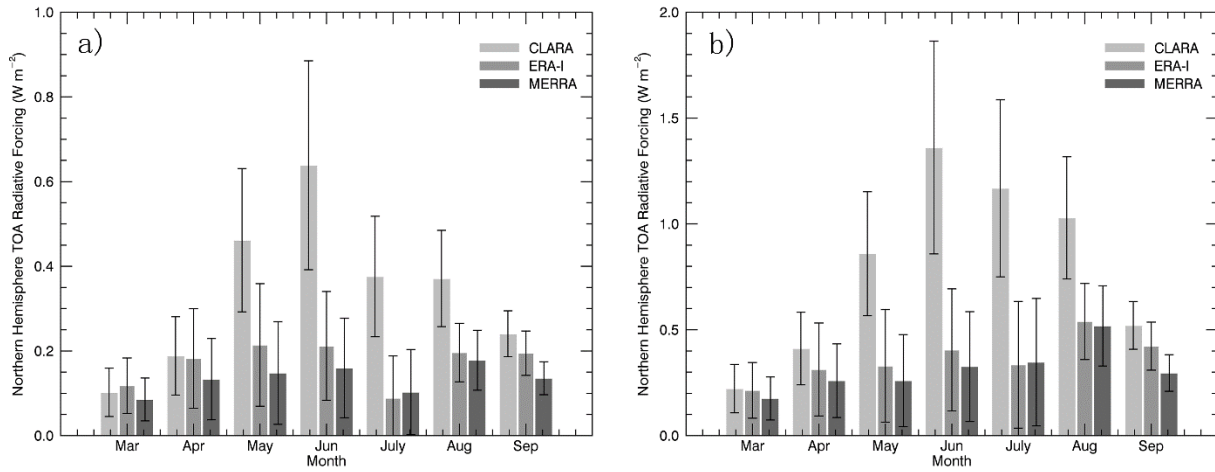
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638 Fig. 4 Seasonal cycles of Northern Hemisphere (NH) changes in sea ice radiative forcing ( $\Delta$ SIRF)

639 based on the average of two radiative kernels from 1982 to 2009 for (a) all-sky and (b) clear-sky.

640 The whiskers depict the 95% confidence intervals of monthly changes of SIRFs. All of the

641 changes, except value from ERA-Interim in July (0.1), have passed the 0.05 significance test.

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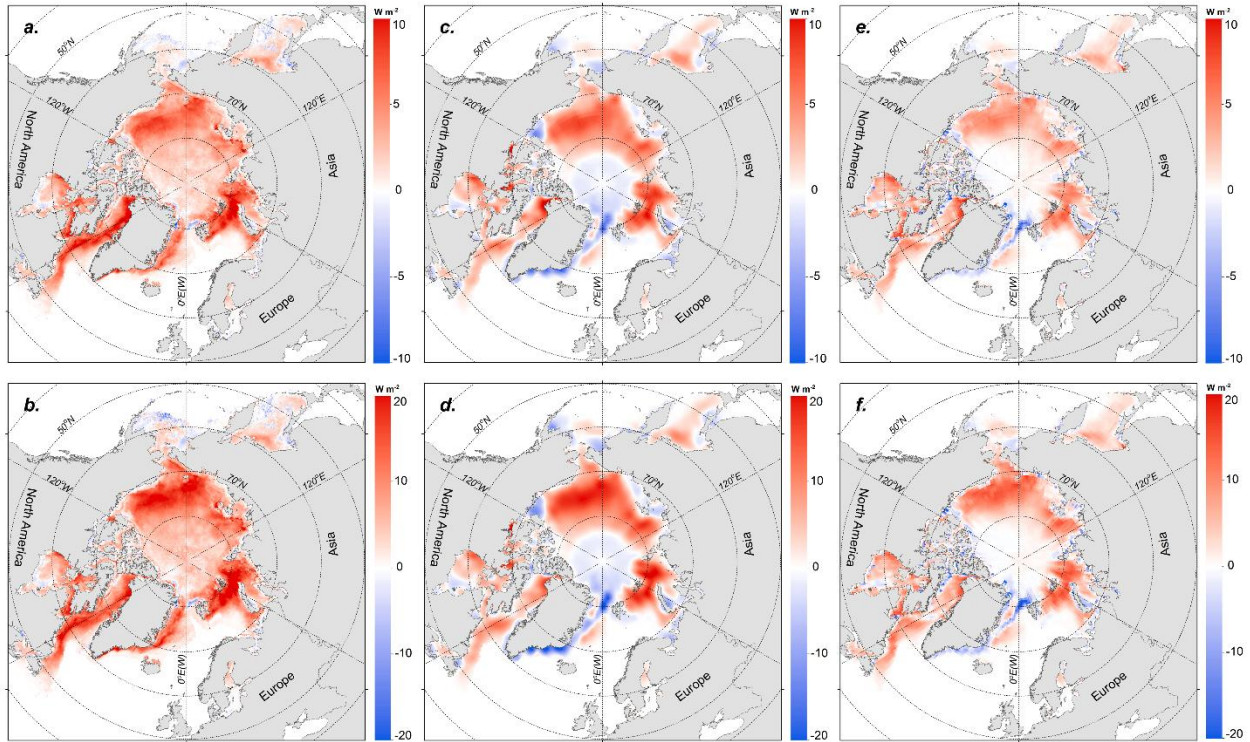
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653 Fig. 5 Changes in sea ice radiative forcing ( $\Delta$ SIRF) from 1982 to 2009 based on two radiative  
 654 kernels for (a) CLARA all-sky, (b) CLARA clear-sky, (c) ERA-Interim all-sky, (d) ERA-Interim  
 655 clear-sky, (e) MERRA all-sky, (f) MERRA clear-sky.

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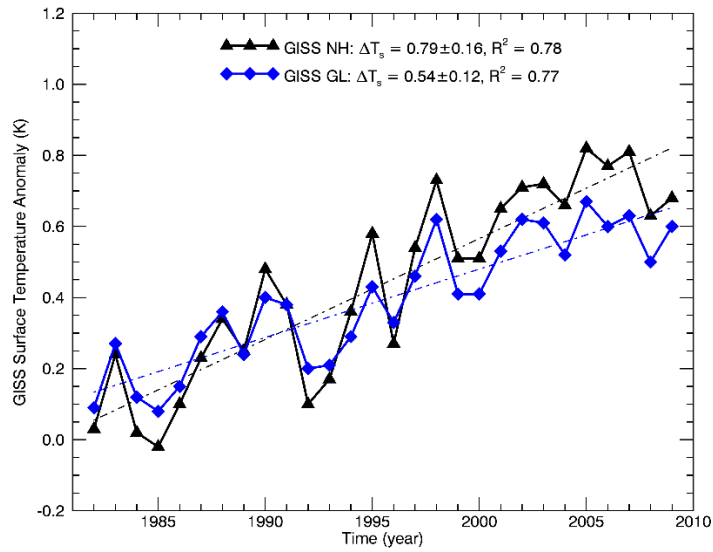
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665 Fig. 6 Annual averaged (January - December) Goddard Institute of Space Studies (GISS) surface  
 666 temperature anomaly over the Northern Hemisphere (NH, Black) and the entire globe (GL, Blue)  
 667 from 1982 to 2009. GISS surface temperature anomaly is calculated based on 1951-1980  
 668 climatology. The linear changes in surface temperature from 1982 to 2009 and the 95%  
 669 confidence interval of the changes are given.

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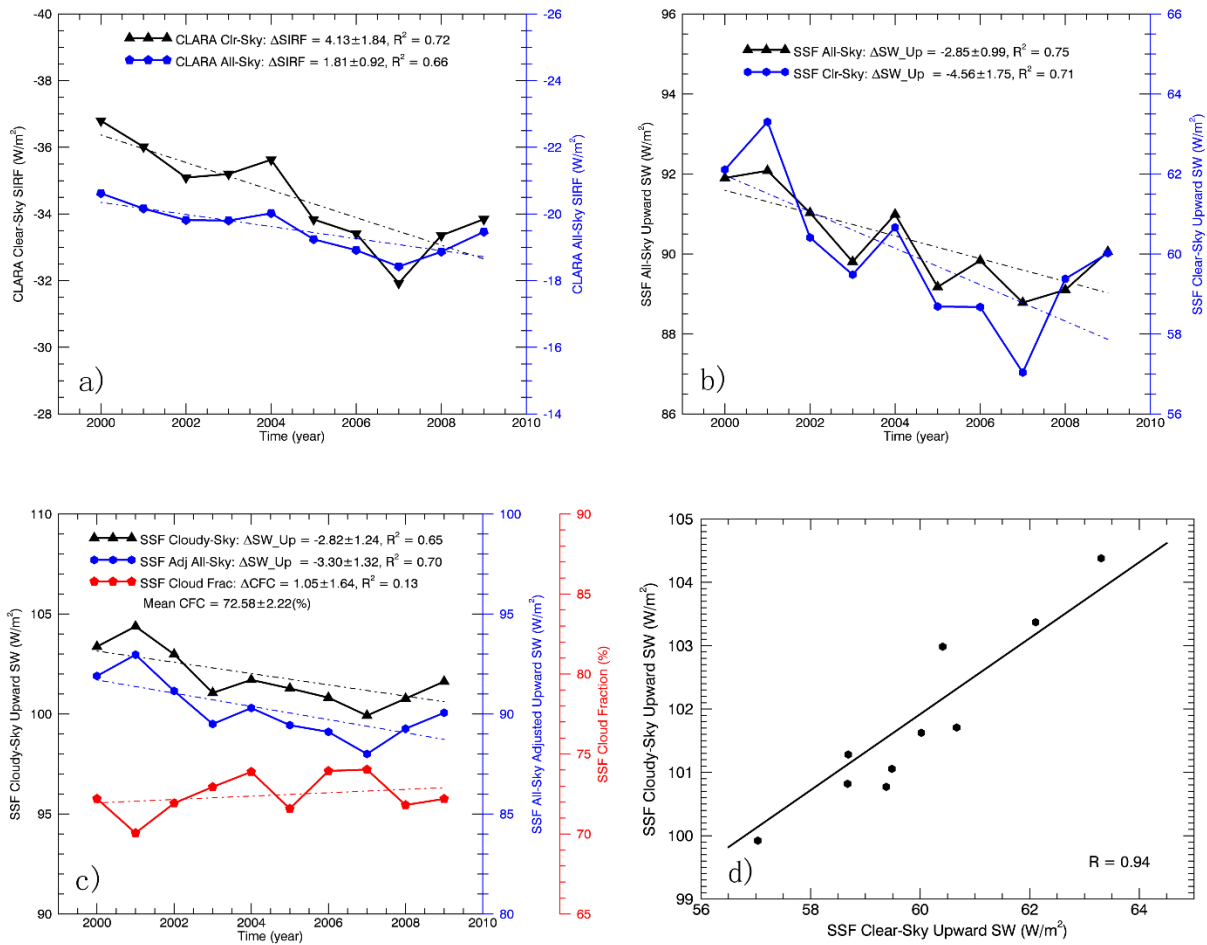
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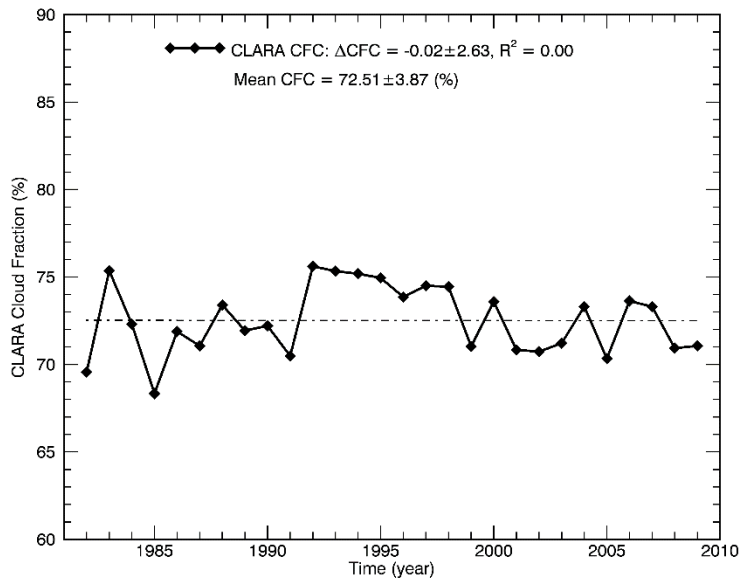


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678 Fig. 7 Annual averaged (a) CLARA sea ice radiative forcing (SIRF); (b) Single Satellite  
 679 Footprint (SSF) top of atmosphere (TOA) upward shortwave (SW) flux; and (c) calculated SSF  
 680 cloudy-sky SW, adjusted all-sky SW flux, and cloud fraction. Figure 7 (d) is the scatter plot of  
 681 cloudy-sky upward SW flux and clear-sky upward flux. In subplot (a), (b) and (c), the linear  
 682 changes of each variable over 2000 to 2009 and the 95% confidence interval of the changes are  
 683 given. For SSF cloud fraction, the multi-year average and the 95% confidence intervals of the  
 684 mean value are also given.

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688 Fig. 8 Annual averaged (March - September) CLARA cloud fraction (CFC) over the Northern  
 689 Hemisphere (NH) sea ice covered region from 1982 to 2009. The linear change in the cloud  
 690 fraction time series ( $\Delta\text{CFC}$ ) from 1982 to 2009 and the 95% confidence intervals of the change  
 691 are given. The multi-year average of CFC and the 95% confidence intervals of the mean value  
 692 are also given.